#### **REMARKS**

This amendment is responsive to the office action dated September 29, 2005.

Claims 1-16 were pending in the application. Claims 1-11 were allowed. Claims 12-16 were rejected.

By way of this amendment, Claims 12 and 16 have been amended. Claims 1-11 and 13-15 remain unchanged.

Accordingly, Claims 1-16 are currently pending.

## I. OBJECTION TO CLAIMS

Claim 16 was objected to because the word sleeve was missing at the end of the last line of the claim. The Applicant has amended Claim 16 to now correctly include the word sleeve. Withdrawal of this objection is respectfully requested.

# II. REJECTION OF CLAIMS 12, 13 and 16 UNDER 35 USC 103

Claims 12, 13 and 16 were rejected under 35 USC 103(a) as being unpatentable over US Patent No. 6,168,288 (St. Claire) in view of US Patent No. 1,990,504 (Stimson). The rejection stated that St. Claire discloses a circuit board with an upper and lower side, a solid state lighting element having an output end and first and second contact leads, the lighting element mounted to the first side of the circuit board, a first electrical contact on the circuit board in electrical communication with the first contact on the lighting element, a second electrical contact on the mounting board in electrical communication with the second contact on the lighting element. The Examiner further states that while St. Claire does not include a receiver sleeve having a tail section, Stimson discloses a thermally conductive receiver sleeve received around the output end of the lighting element to form an electrical path and a thermal path from the light source and that the present invention is obvious in view of a combination of these references.

Claim 12 of the present invention has been amended to clearly require that the sleeve include a rear wall and an aperture from which the tail portion extends. Further, the limitation that requires that the entire optical portion of the lighting element be

received within the tail portion wherein the optical portion of the lighting element does not extend beyond the plane of the end wall of the receiver sleeve has also been added. In this manner, the close proximity of the tail portion of the sleeve to the heat generating output end of the lighting element serves to quickly capture the waste heat generated by the lighting element and transfer it away before it damages the lighting element of the circuit board. This feature simply is not shown, disclosed or suggested anywhere in the cited Stimson reference.

Accordingly, even if the St. Claire and Stimson references were combined the resulting combination would still lack critical claimed limitations provided in the claims of the present invention. Specifically, a device that resulted from a combination of the St. Claire and Stimson references would not include tail portion wherein the tail portion is positioned at one end of the receiver sleeve and wherein the lighting element is received entirely within the tail portion such that the output end of the lighting element resides within the tail portion and not the receiver sleeve. It is this particular arrangement in the present invention that serves to effectively capture and transfer the heat generated by the solid-state light source and transfer it away from the circuit board and the electronics mounted thereon. In essence, the receiver sleeve act as a heat sink / heat shield that fully isolates the entire light source from the electronic components and circuit board.

Since the cited combination does not produce the device of the present invention in the claims as amended, the combination cannot render the present invention obvious under §103. Reconsideration and withdrawal of this rejection is respectfully solicited.

# II. REJECTION OF CLAIMS 14 and 15 UNDER 35 USC 103

Claims 14 and 15 were rejected under 35 USC 103(a) as being unpatentable over St. Claire and Stimson in view of US Patent No. 6,160,355 (Yee). The Examiner has stated that although the combination of St. Claire and Stimson does not disclose control circuitry on the circuit board, Yee discloses control circuitry mounted on the upper side of the circuit board adjacent the LED and that the present invention is obvious in light of the combination of these references.

As stated above in the comments related the combination of St. Claire and Stimson above, the present invention includes features that are not provided in the base combination. Claim 12 (the claim from which claims 14 and 15 depend) of the present invention clearly includes a positive limitation that the sleeve include a rear wall and an aperture from which the tail portion extends. Further, the limitation that requires that the entire optical portion of the lighting element be received within the tail portion wherein the optical portion of the lighting element does not extend beyond the plane of the end wall of the receiver sleeve has also been added. In this manner, the close proximity of the tail portion of the sleeve to the heat generating output end of the lighting element serves to quickly capture the waste heat generated by the lighting element and transfer it away before it damages the lighting element of the circuit board. This feature simply is not shown, disclosed or suggested anywhere in the cited Stimson reference. This claimed feature by virtue of their dependency on Claim 12 is carried to claims 14 and 15. This feature simply is not shown, disclosed or suggested anywhere in the cited Stimson reference.

Accordingly, even if the St. Claire and Stimson references were combined the resulting combination would still lack critical claimed limitations provided in the claims of the present invention. Specifically, a device that resulted from a combination of the St. Claire and Stimson references would not include tail portion wherein the tail portion is positioned at one end of the receiver sleeve and wherein the lighting element is received entirely within the tail portion such that the output end of the lighting element resides within the tail portion and not the receiver sleeve. It is this particular arrangement in the present invention that serves to effectively capture and transfer the heat generated by the solid-state light source and transfer it away from the circuit board and the electronics mounted thereon. In essence, the receiver sleeve act as a heat sink / heat shield that fully isolates the entire light source from the electronic components and circuit board.

The simple addition of the Yee disclosure regarding the use of control circuitry in connection with an LED lighting element does not serve to overcome the other structural differences. Therefore, even should the St. Claire, Stimson and Yee references be

combined as provided by the Examiner, the present invention simply would not be disclosed for at least the reasons set forth above. Since the cited combination does not produce the device of the present invention in the claims as amended, the combination cannot render the present invention obvious under §103. Reconsideration and withdrawal of this rejection is respectfully solicited.

### III. ALLOWABLE SUBJECT MATTER

Claims 1-11 were held to be allowable.

## IV. <u>CONCLUSION</u>

Accordingly, claims 1-16 are believed to be in condition for allowance and the application ready for issue.

Corresponding action is respectfully solicited.

PTO is authorized to charge any additional fees incurred as a result of the filing hereof or credit any overpayment to our account #02-0900.

Respectfully submitted,

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